The Zhang lattice: a simple lattice naturally has type-II Dirac points

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I review the discovery as well as the band structure of the Zhang lattice.

Dirac materials [1] are special since there are Dirac points in their corresponding band structures, which means that the excited quasi-particle is fermionic and obeys the massless Dirac equation [2]. Probably, the most frquently used Dirac materials are the graphene (or the honeycomb lattice), the Lieb lattice and the kagome lattice [3]. However, the Dirac point supported by these lattices are type-I [4]. Considering half-filling, the Fermi surface is a point for the type-I Dirac point, a line for the type-III Dirac point and a pair of crossing lines for the type-II Dirac point. In comparison with the materials that support type-I Dirac point, materials that support the type-II Dirac point, various methods are much more rare, especially those support the type-II Dirac point. To obtain the type-II Dirac point, various methods are developed [5–10]. I would like to state that all the efforts are not direct. One cannot help wondering that is there a kind of material or artificial material that naturally possesses the type-II Dirac point, just like a graphene possessing the type-I Dirac point? To this end, I started pondering on this question since I noticed Ref. [6], and finally succeeded in 2020 [11] after many attempts and efforts [12–14].

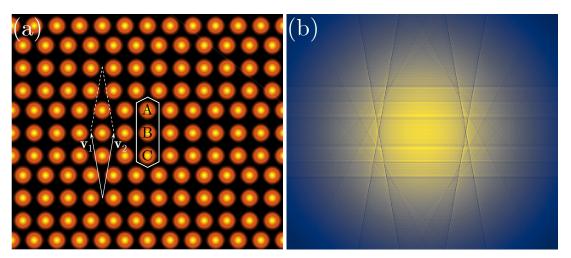


FIG. 1. (a) Landscape of the Zhang lattice. In each unit cell (indicated by a hexagon) there are three sites labeled as A, B and C. The basis vectors of the Bravais lattice are $\mathbf{v}_1 = [-a/2, 2a + \sqrt{3}a/2]$ and $\mathbf{v}_2 = [+a/2, 2a + \sqrt{3}a/2]$ with a being the lattice constant. (b) Far-field diffraction pattern with the innermost hexagon being the first Brillouin zone.

The designed lattice, i.e., the *Zhang lattice*, is shown in Fig. 1(a), which has three sites in one unit cell. Its corresponding far-field diffraction pattern in Fig. 1(b) shows the first Brillouin zone (the innermost hexagon) clearly. In Ref. [11], the six corners of the first Brillouin zone are exhibited:

$$\left(\pm \frac{(20+8\sqrt{3})\pi}{(19+8\sqrt{3})a}, 0\right) \quad \text{and} \quad \left(\pm \frac{(18+8\sqrt{3})\pi}{(19+8\sqrt{3})a}, \pm \frac{2\pi}{(4+\sqrt{3})a}\right). \tag{1}$$

Therefore, I believe the complexity of the *Zhang lattice* is in the same level as that of the Lieb lattice and the kagome lattice, and the *Zhang lattice* is a simple lattice.

The band structure of the Zhang lattice was calculated based on the both discrete model (i.e., the tight-binding method) and the continuous model (i.e., the Schrödinger-like paraxial wave equation), and both results demonstrate

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the existence of the type-II Dirac points. According to the tight-binding method with merely nearest-neighbor hopping being considered, the Hamiltonian can be written as

$$\mathcal{H} = t \begin{bmatrix} 2\cos[\mathbf{k}\cdot(\mathbf{v}_2 - \mathbf{v}_1)] & 1 & \exp(-i\mathbf{k}\cdot\mathbf{v}_1) + \exp(-i\mathbf{k}\cdot\mathbf{v}_2) \\ 1 & 2\cos[\mathbf{k}\cdot(\mathbf{v}_2 - \mathbf{v}_1)] & 1 \\ \exp(+i\mathbf{k}\cdot\mathbf{v}_1) + \exp(+i\mathbf{k}\cdot\mathbf{v}_2) & 1 & 2\cos[\mathbf{k}\cdot(\mathbf{v}_2 - \mathbf{v}_1)] \end{bmatrix}, \tag{2}$$

with $\mathbf{k} = [k_x, k_y]$ being the Bloch momentum and t the hopping strength. The band structure, i.e., the eigenvalues of the Hamiltonian versus k_x and k_y , is shown in Fig. 2(a). There are three bands in the band structure, and the intersections between each two bands are type-II Dirac points. The appearance of the type-II Dirac point is natural and no additional operation is required onto the *Zhang lattice*.

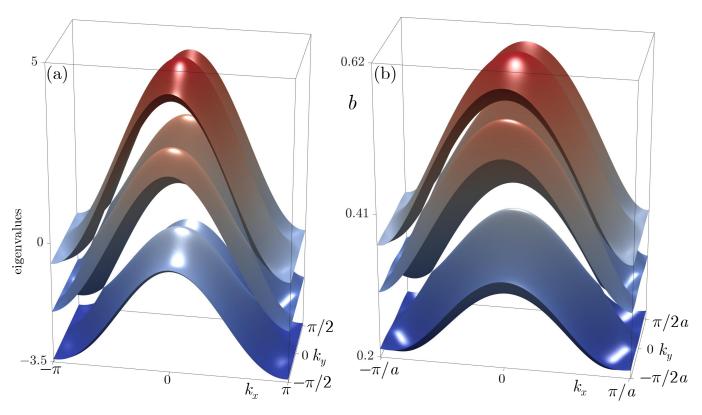


FIG. 2. (a) Band structure of the Zhang lattice by assuming a=1 and t=1 based on the tight-binding method. (b) Band structure of the Zhang lattice based on the continuous model with a=3 and p=5.

The continuous model includes all hoppings as well as the concrete profile of the sites, and therefore is more accurate than the discrete model. Assuming the *Zhang lattice* is inscribed in a transparent optical medium (e.g., the fused silica) by the femto-second laser direct writing technique, and the dimensionless Schrödinger-like paraxial wave equation should be written as

$$i\frac{\partial\psi}{\partial z} = -\frac{1}{2}\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right)\psi - \mathcal{R}(x,\gamma)\psi,\tag{3}$$

where \mathcal{R} is the Zhang lattice that can be depicted by Gaussian functions

$$\mathcal{R}(x,y) = p \sum_{m,n} \exp\left(-\frac{(x - x_{m,n})^2}{\sigma_x^2} - \frac{(y - y_{m,n})^2}{\sigma_y^2}\right),\tag{4}$$

with p being the lattice depth, (σ_x, σ_y) charging for the beam width, and $(x_{m,n}, y_{m,n})$ being the coordinates of the lattice grids. Considering a set of real experimental parameters [15, 16], e.g., $\sigma_x = 0.25$ (2.5 μ m), $\sigma_y = 0.75$ (7.5 μ m), a = 3 (30 μ m), $\lambda = 600$ nm, and p = 5 (the refractive index change $\sim 5.5 \times 10^{-4}$), the corresponding band structure of the *Zhang lattice* is shown in Fig. 2(b), by introducing the ansatz $\psi(x, y, z) = u(x, y) \exp(ibz)$ for Eq. (3) with b = 0.00

being the propagation constant and u(x, y) the Bloch state. The result based on the continuous model is quite similar to that based on the discrete model, and the type-II Dirac points are definitely supported by the Zhang lattice.

Note that the *Zhang lattice* has been induced in photorefractive SBN crystals [17], and surely in atomic vapors [18] with the aid of a spatial modulator. In the *Zhang lattice*, the conical diffraction [11], the Klein tunneling [11], the scalar as well as the vector valley Hall edge solitons have been reported [17, 19, 20]. In the future, I believe more and more interesting physical principles and phenomena will be reported and verified based on the *Zhang lattice*.

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